

Cities Development Initiative for Asia – CDIA

28 Oct 2016

Terms of Reference for CDIA

Assessment of Pro-poor Financing Initiatives and Recommendations for collaboration

Title:	CDIA Collaboration with Pro-poor Financing Initiatives
Location:	Philippines
Duration:	10-15 Working Days (negotiable) (8-13 WD assessment of initiatives incl. joint meeting, 2 WD documentation & recommendations)
Input:	Organizational/ Institutional Development Expert with expertise in the micro-financier landscape of infrastructure development

1. Background of Assignment

Over the next 20 years, Asian cities must be ready to provide infrastructure and services to an expected 1 billion additional people if economic growth and competitiveness are to progress. An approximate urban increase of 100,000 people per day poses a significant challenge to Asian local governments and administrations. To ensure cities can remain engines of growth and contribute to sustainable development, they require support in the development of urban services and related institutions, and thus assistance in planning, financing, implementing, and maintaining infrastructure.

In response to the challenge outlined above, the Cities Development Initiative for Asia (CDIA) was established in 2007 as an international partnership initiative by the Asian Development Bank and the Government of Germany, with additional core funding from the governments of Austria, Sweden, Switzerland, and the Shanghai Municipal Government. CDIA provides assistance to medium-sized Asian cities to bridge the gap between city development plans and the implementation of infrastructure investments. A special emphasis is thereby placed onto the following impact areas: (i) environmental improvement, (ii) poverty reduction, (iii) climate change mitigation or adaptation, and (iv) good governance.

Based upon a demand-driven approach CDIA assists cities to meet their urban planning and infrastructure goals by providing advisory support for infrastructure prioritization and programming; by providing consultancy support to undertake the preparation of pre-feasibility studies to create better integrated, inclusive, and sustainable infrastructure projects; by helping to identify financial sources for selected infrastructure investments from domestic and international finance markets and opportunities for Public-Private Partnerships; as well as by strengthening local organizational and institutional capacity by conducting training and networking events, and supporting national and regional partner organizations in scaling up the experience related to infrastructure investment planning, programming, and project development.

To sustainably drive urbanization, reduce poverty and enhance the quality of urban livelihoods, there is a need to mobilize not merely the cities themselves, but a global community that is dedicated to reaching the Sustainable Development Goals. CDIA, as an initiative working with and for cities in the Asian region has mapped out and built up its stakeholder landscape, has established and nurtured multi-layered collaborations with a range of partners from the public and private sector as well as civil society, in order to instigate inclusive urban development through its mandate in addressing infrastructure investment gaps. Since its establishment in 2007, CDIA has mainstreamed its tools on urban project preparation and financing through its network of national and regional partners.

CDIA's Impact Chain and Indicators Framework for 2013-2017 highlights '*Cities have enhanced capacities to access finance for prioritized sustainable urban infrastructure investment projects*' in Output 2. This focus is reflected in CDIA core processes where strategic partners have been relied on for business development

(i.e. creating a pipeline of cities for CDIA interventions), knowledge management, training deliveries, city engagement, events, and other joint endeavors over the past years.

Specifically, Item 4 under Output 2's indicator is identified as: *'Collaboration with 2 national pro-poor financing institutions [...] undertaking infrastructure investment projects in cities has been assured (sources: signed MOUs, joint work programs) [Dec 2014]'*. It is envisioned that the collaboration with the national pro-poor financing institutions will augment CDIA's efforts to enhance cities' capacity to prepare bankable projects with a focus on poverty reduction.

In the past, there were already efforts done to fulfill the indicator such as an instruction during the 14th Program Review Committee meeting to identify suitable pro-poor institutions; however, personnel and budget constraints led to the failing the original envisioned timeline of December 2014.

Another limitation in fulfilling the indicator was that most institutions have pro-poor programs centered on either private housing or agriculture while the CDIA's mandate is to support medium-sized cities in the provision of urban infrastructure projects. Moreover, the indicator limited the partnership with an organization on an international scale. It is observed that most pro-poor financing institutions operate on a smaller scale – at the city or community level.

Currently, the CDIA envisions to fulfill the indicator by collaborating with a pro-poor financing institution that works with medium-sized cities. The institution should preferably be in the Philippines, or nearby countries, or within CDIA's focus countries. The envisioned timeline for the collaboration is by 1st semester of 2017.

1. Objective of Assignment

It is important as well as an opportune timing to strengthen CDIA's partnerships on inclusive and pro-poor urban development, enhance the initiative's national presence and re-create collaborations on outlining and implementing frameworks and mechanisms for financing urban development.

Therefore, the CDIA is seeking a consultant to

- To assess different pro-poor financing initiatives/institutions on their suitability
- To select two initiatives/institutions and provide recommendations on the type of collaboration and on the kind of activities with CDIA
- To initiate a first interaction with CDIA

At the very beginning stands CDIA's stocktaking of its collaborations vis-à-vis the initiative's knowledge products, tools and services. The internal paper dated September 2016 entitled 'Assessment of CDIA's Expected Collaboration with 2 National Pro-Poor Financing Institutions' will guide the consultant.

This assignment aims at strategically outlining and kicking off the cooperation between CDIA and two pro-poor financing institutions. The Consultant will support CDIA in identifying future key steps for the partnership as well as concrete joint initiatives.

2. Detailed Tasks and Outputs

The Consultant shall be tasked to perform the following:

Output 1: Institutional Analysis

The Consultant shall be tasked to assess different pro-poor financing initiatives/institutions and consideration of possible other initiatives on their suitability. Assessment shall include, but not be limited to the following:

- (1) Identification of two appropriate initiatives/institutions for partnership with the CDIA;
- (2) Clarification of the organization's mandate, overall objective and approach in financing pro-poor urban infrastructure projects;
- (3) Identification of the relevant stakeholder landscape and positioning of role and tasks;
- (4) Identification of services to relevant stakeholders;
- (5) Identification of challenges and opportunities in collaborating;

Output 2: Roadmap and action plan for CDIA's cooperation with two pro-poor financing institutions

The Consultant shall provide recommendations on the type of collaboration and on the kind of activities with CDIA which shall include the following:

- (1) Procedure and steps of the cooperation;
- (2) Timeframe;
- (3) anticipated resources and expertise from each organization;
- (4) additional information needed; and
- (5) way forward.

Output 3: Linking CDIA with the proposed pro-foor financing institutions through the facilitation of an initial interaction with the two selected organizations for pro-poor financing.

3. Timeframe

The task will initially require a consultant input of ten (10) to fifteen (15) working days and must be completed by 28 February 2017 with a report of 5-15 pages.

The timeline for the engagement of the consultant is as follows:

Activity	Date
Selection and Contracting of Consultant	Nov-Dec 2016
Drafting of the Study and Linking to Partner Organizations	Dec 2016-January 2017
Finalization of Study and Presentation to CDIA's CMT team	February 2017

4. Coordination and Supervision

The assignment will be supervised by the CDIA Social Development Specialist and will be conducted in close coordination with the respective CDIA country manager.

5. Expert Profile

The posted assignment requires profound expertise in organizational strengthening and dealing with micro-financing institutions in the Asian region, preferably the Philippines.

6. Contact persons

- Eva Ringhof, eva.ringhof@giz.de, +63-2-683 1091
- Kristina Diza, kristina.diza@cdia.asia (during 29 of October to 11 of November)